



## 6. Corporate Governance Execution Status and Deviations

### Union Insurance Company

#### Corporate Governance Execution Status and Deviations from “Corporate Governance Best-Practice Principles for Insurance Enterprises”

Item	Implementation Status	Deviations from “Corporate Governance Best-Practice Principles for Insurance Enterprises”
1. If the Company has established corporate governance principles based on “Corporate Governance Best-Practice Principles for Insurance Enterprises”, please describe any discrepancy between the principles and their implementation	Union has established corporate governance principles to handle related issues. There is without difference between the Company’s rules and said principles.	Compliance
2. Shareholding Structure & Shareholders’ Rights		
(1) Method of handling shareholder suggestions or complaints	Union has designated persons to handle all of related suggestions or complaints from shareholders. Shareholders also can contact with the Company through website <a href="http://www.wunion.com">http://www.wunion.com</a> .	Compliance
(2) The company’s possession of a list of major shareholders and a list of ultimate owners of these major shareholders	Union has designated persons to handle all of stock issues, and entrust external stock agency to provide updated information to shareholders.	Compliance
(3) Risk management mechanism and firewall between the Company and its affiliates	Union has stipulated various regulations or rules to handle its businesses with its affiliates.	Compliance
(4) If the Company sets up internal regulation to prevent the insider from using undisclosed information to buy securities	Union has stipulated “內部重大資訊處理暨防範內線交易管理作業程序” to regulate such incidents.	Compliance
3. Composition and Responsibilities of the Board of Directors		
(1) If the Board of Directors has drawn up and implemented the diversification policy on the composition of its members	Union has stipulated “公司治理實務守則” to monitor it.	Compliance
(2) Except the remuneration committee and audit committee, should the Company be willing to set up other functional committees	Union has set up risk management committee other than remuneration committee and audit committee to proceed risk management	Compliance
(3) If the company has formulated the Board performance evaluation method and its evaluation method	Union has stipulated “董事績效評估辦法” to monitor it.	Compliance
(4) Regular evaluation of CPAs’ independence	Union each year evaluate the independence of CPA.	Compliance
4. Communication Channel with Stakeholders		
5. If the company appoints professional stock affairs agency to handle the affairs of shareholders’ meeting	Union has appointed Waterland Securities to handle shareholders’ affairs.	Compliance
6. Public Information Disclosure		
(1) If the Company set up public website to disclose information about finance and corporate	Union has set up official website of <a href="https://www.wunion.com">https://www.wunion.com</a> to disclose	Compliance



governance	information about finance and corporate governance.	
(2) If the Company set up other ways for information disclosure	Union has quarterly updated it's relation business information on the official website and appointed designated person responsible for information collection and disclosure. All actions followed regulations or rules by “內部重大資訊處理暨防範內線交易管理作業程序”.	Compliance
7. If the company has any other important information about the operation of corporate governance	Union has set up: <ul style="list-style-type: none"> <li>● Work rules for the protection of the rights and interests of employees in accordance with the labor law.</li> <li>● Spokesman and acting spokesman system to facilitate smoothly communications with Stakeholders and the public.</li> <li>● Risk management committee is held quarterly to monitor the risks of business management.</li> <li>● Conduct internal control evaluation on a regular basis.</li> <li>● A consumer justice special responsibility unit and free of charge customer service line to protect consumers rights.</li> </ul>	Compliance
8. If the company has a corporate governance self-assessment report or a corporate governance assessment report commissioned by other professional organizations	Union submits full year and half year report on “保險業公司治理實務守則『應』之條文檢核表” in February and August each year to FSC. All self-assessments are meeting requirements.	Compliance